

ATTORNEY DOCKET NO.  
067470.0137 (P0766)

PATENT APPLICATION  
Serial No. 09/839,515

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fred C. Thomas III  
Serial No.: 09/839,515  
Filed: April 20, 2001  
Group No.: 2131  
Examiner: Unknown  
Title: METHOD AND APPARATUS FOR SECURE  
TRANSMISSION OF IDENTIFIER FOR  
REMOVABLE STORAGE MEDIA

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**FEB 07 2002**

**Technology Center 2100**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that this correspondence, with enclosures, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

Name Judy Bagwell  
Date: 1/29/2002

**THIRD INFORMATION DISCLOSURE STATEMENT**

Pursuant to the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, several documents are enclosed which may be material to examination of the present application.

More specifically, Applicant is enclosing copies of the text and drawings of two copending U.S. applications, each of which is listed on enclosed Form PTO-1449. Further, Applicant is submitting copies of several other documents, each of which is listed on Form PTO-1449.

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This Information Disclosure Statement is being submitted prior to receipt of a first Office Action on the merits. Accordingly, no fees are believed to be due in association with the filing of this Information Disclosure Statement.

It is respectfully requested that the enclosed documents be considered when the present application is taken up for examination. Favorable consideration of this application is respectfully requested.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



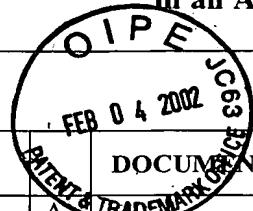
T. Murray Smith  
Reg. No. 30,222

Baker Botts L.L.P.  
2001 Ross Avenue  
Suite 600  
Dallas, Texas 75201-2980  
(214) 953-6684

Date: January 29, 2002

Encls: Form PTO-1449, and one copy  
of each listed document  
Post Card

PTO-1449  <b>Information Disclosure Citation in an Application</b>		Application No. 09/839,515	Applicant(s) Fred C. Thomas III	
		Docket Number 067470.0137 (P0766)	Group Art Unit Unknown	Filing Date 04/20/2001

**U.S. PATENT DOCUMENTS**

DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A					
B					
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D					
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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
O								
P								
Q								

**NON-PATENT DOCUMENTS****DOCUMENT (Including Author, Title, Source, and Pertinent Pages)**

R	U.S. Serial No. 09/565,790, filed May 5, 2000, entitled "CRYPTOGRAPHICALLY SECURED REMOVABLE DATA STORAGE", by inventors Fred C. Thomas III et al., 26 pages of text and 9 drawing sheets. (Attorney Docket No. P0440 / IOM-5482 / IOM-5481).
S	U.S. Serial No. 09/783,662, filed February 13, 2001, entitled "METHOD AND SYSTEM FOR SOFTWARE AUTHENTICATION IN A COMPUTER SYSTEM", 32 pages of text and 9 drawing sheets. (Attorney Docket No. P0717 / IOM-8034).
T	"SDMI Portable Device Specification", Part 1, Version 1, SDMI Secure Digital Music Initiative, Los Angles, California, July 8, 1999; pages 1-35, with 2-page Amendment 1 dated September 23, 1999.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

DAL01:654047.1

PTO-1449 <b>Information Disclosure Citation O in a Application</b>		Application No. <b>09/839,515</b>	Applicant(s) <b>Fred C. Thomas III.</b>	
		Docket Number <b>067470.0137 (P0766)</b>	Group Art Unit <b>Unknown</b>	Filing Date <b>04/20/2001</b>

**U.S. PATENT DOCUMENTS**

DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
U					
V					
W					
X					
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AA					
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FF					
GG					
HH					
II					

**RECEIVED****FEB 07 2002****Technology Center 2100****FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
JJ							
KK							
LL							

**NON-PATENT DOCUMENTS****DOCUMENT (Including Author, Title, Source, and Pertinent Pages)**

MM	"Guide to the SDMI Portable Device Specification" Part 1, Version 1.0. SDMI Secure Digital Music Initiative, 5 pages, date unknown.
NN	"Why Encryption on the Internet Really Works, The Magic of Public Key Encryption", Hifn, Los Gatos, California, pages i - viii and 1-31, date unknown.
OO	

EXAMINER

DATE CONSIDERED

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